# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

#### **Claims**

1. An optical waveguide structure comprising a core layer having a first refractive index  $n_{core}$ , an array of sub-regions within the core having a second refractive index  $n_{rods}$ , the array of sub-regions extending longitudinally along the waveguide and giving rise to a photonic band structure experienced by an optical mode travelling through the waveguide structure, and a cladding layer adjacent to the core layer having a refractive index  $n_{cladding}$ , wherein:

$$n_{core} > n_{rods} \ge n_{cladding}$$
 and  $n_{core} - n_{rods} > 0.1$ .

10

- 2. An optical waveguide structure according to claim 1, wherein the array of subregions gives rise to a photonic bandgap.
- 3. An optical waveguide structure according to claim 1, wherein the waveguide structure is a planar waveguide structure further including a buffer layer having a refractive index n<sub>buffer</sub>, wherein the core layer is positioned between the buffer layer and the cladding layer and wherein:

$$n_{core} > n_{rods} \ge n_{buffer}$$
.

- 4. An optical waveguide structure according to claim 1, wherein the waveguide structure is an optical fibre structure, the cladding layer surrounding the core layer.
  - 5. An optical waveguide structure according to claim 1, wherein the core layer has a refractive index between 1.4 and 4.

- 6. An optical waveguide structure according to claim 1, wherein the sub-regions have a refractive index between 1.3 and 1.6.
- 7. An optical waveguide structure according to claim 1, wherein the cladding layer 30 has a refractive index between 1.3 and 1.6.
  - 8. An optical waveguide structure according to claim 3, wherein the buffer layer has a refractive index between 1.3 and 1.6.

### 20/10/03 GJE Ref:PJF01646US BTG Ref:DC144342 Meso Ref:005

- 9. An optical waveguide structure according to claim 1, wherein the sub-regions are formed from silicon oxynitride or silicon dioxide.
- 10. An optical waveguide structure according to claim 1, wherein the core layer is
  formed from silicon nitride, doped silica, tantalum pentoxide or doped tantalum pentoxide.
  - 11. An optical waveguide structure according to claim 1, wherein the cladding layer is formed from silicon dioxide.
- 12.—An optical waveguide-structure according to claim 3, wherein the buffer layer is formed from silicon dioxide.

10

- 13. An optical waveguide structure according to claim 1, wherein the sub-regions extend through the cladding layer as well as the core layer.
  - 14. An optical waveguide structure according to claim 3, wherein the sub-regions extend partially or fully into the buffer layer.
- 15. An optical waveguide structure according to claim 1, wherein the cladding layer includes sub-regions corresponding to the sub-regions in the core layer having a refractive index which is greater than or equal to the refractive index of the cladding layer but which is less than or equal to the refractive index of the sub-regions in the core.
  - 16. An optical waveguide structure according to claim 1, wherein the core layer includes a lateral waveguiding region having no sub-regions.
- 17. An optical waveguide structure according to claim 16, wherein the waveguiding region includes a waveguide bend.
  - 18. An optical device including an optical waveguide structure according to claim1.

19. A method of manufacturing a optical waveguide structure comprising the steps of:

providing a core layer having a first refractive index n<sub>core</sub>;

providing an array of sub-regions within the core having a second refractive index  $n_{rods}$ , the array of sub-regions extending longitudinally along the waveguide and giving rise to a photonic band structure experienced by an optical mode travelling through the waveguide structure; and

providing a cladding layer adjacent to the core layer having a refractive index  $n_{\text{cladding}};$  wherein:

$$n_{core} > n_{rods} \ge n_{cladding}$$
 and  $n_{core} - n_{rods} > 0.1$ .

20. A method according to claim 19, wherein the optical waveguide is planar, the method further including the step of providing a buffer layer having a refractive index  $n_{buffer}$  on the opposite side of the core layer to the cladding layer, wherein:

 $n_{core} > n_{rods} \ge n_{buffer}$ 

21. A method according to claim 19, wherein the optical waveguide is an optical fibre, the method further including the steps of:

providing the cladding layer surrounding the core layer.

22. A method of guiding an optical signal comprises the step of passing an optical signal through a waveguiding region of an optical waveguide structure comprising a core layer having a first refractive index  $n_{core}$ , an array of sub-regions within the core layer having a second refractive index  $n_{rods}$ , the array of sub-regions extending longitudinally along the waveguide and giving rise to a photonic band structure experienced by an optical mode travelling through the waveguide structure, and a cladding layer adjacent the core layer having a refractive index  $n_{cladding}$ , wherein:

$$n_{core} > n_{rods} \ge n_{cladding}$$
 and  $n_{core} - n_{rods} > 0.1$ .

23. A method according to claim 22, wherein the optical waveguide structure is a planar structure, further including a buffer layer having a refractive index n<sub>buffer</sub>, wherein the core layer is positioned between the buffer layer and the cladding layer and wherein:

10

20

20/10/03 GJE Ref:PJF01646US BTG Ref:DC144342 Meso Ref:005

- 24. A method according to claim 22, wherein the waveguide structure is an optical fibre structure, wherein the cladding layer surrounds the core layer.
- 25. An optical waveguide structure comprising a core layer having a first refractive index  $n_{core}$  and a 2-dimensional array of sub-regions within the core layer having a second refractive index  $n_{rods}$ , the array of sub-regions extending longitudinally along the waveguide and giving rise to a photonic band structure within the core layer, and a cladding layer adjacent the core layer having a refractive index  $n_{cladding}$  wherein:

$$n_{core} > n_{rods} \ge n_{cladding}$$

10

25

30

5

- 26. -An-optical-waveguide-structure-according to claim 25,-wherein-n<sub>core</sub>-n<sub>rods</sub>>-0.1.
- 27. An optical waveguide structure according to claim 25 or 26, wherein the waveguide structure is a planar waveguide structure, the core layer being formed between the cladding layer and a buffer layer, the buffer layer having a fourth refractive index n<sub>buffer</sub>, wherein:

$$n_{core} > n_{rods} \ge n_{cladding}$$
 and  $n_{buffer}$ .

- 28. An optical waveguide structure according to any one of claims 25-26, wherein the waveguide structure is an optical fibre, the cladding layer having surrounding the core layer.
  - 29. A method of manufacturing a optical waveguide structure comprising the steps of:

providing a core layer having a first refractive index  $n_{\text{core}}$ ; providing a cladding layer adjacent to the core layer having a refractive index  $n_{\text{cladding}}$ ;

forming a 2-dimensional array of holes in the core layer extending longitudinally along the waveguide structure;

filling the holes with a material having a second refractive index  $\mathbf{n}_{\text{rods}}$ , wherein:

30. A method according to claim 29, wherein  $n_{core}$ - $n_{rods}$ > 0.1.

20/10/03 GJE Ref:PJF01646US BTG Ref:DC144342 Meso Ref:005

31. A method according to claim 29 or 30, wherein the optical waveguide is a planar waveguide, the method further including the steps of:

providing a buffer layer having a refractive index n<sub>buffer</sub> on the other side of the core layer to the cladding layer; wherein:

32. A method according to any one of claims 29-31, wherein the optical waveguide is an optical fibre, the method including the step of:

providing the cladding layer surrounding the core layer.

10

15

33. A method of guiding an optical signal comprising the step of passing an optical signal through a waveguiding region of an optical waveguide structure comprising a core layer having a first refractive index  $n_{core}$ , a 2-dimensional array of sub-regions within the core layer extending longitudinally along the waveguide having a second refractive index  $n_{rods}$ , the array of sub-regions giving rise to a photonic band structure within the core layer, and a cladding layer adjacent to the core layer having a refractive index  $n_{cladding}$ , wherein:

n<sub>core</sub>>n<sub>rods</sub>≥n<sub>cladding</sub>

- 34. A method according to claim 33 wherein  $n_{core}$  - $n_{rods}$  > 0.1.
  - 35. A method according to claim 33 or 34, wherein the waveguide is a planar waveguide, wherein the core layer is formed between the cladding layer and a buffer layer, the buffer layer having a fourth refractive index n<sub>buffer</sub>, and wherein:

25

 $n_{core} > n_{rods} \ge n_{cladding}$  and  $n_{buffer}$ .

36. A method according to any one of claims 33-35, wherein the optical waveguide is an optical fibre, wherein the cladding layer surrounds the core layer.